

JYOTI VIHAR
Sambalpur (Orissa), PIN-768 019

NOTIFICATION NO 9917/ACD-I

DATE 04 DECEMBER 2010

NOTIFICATION

It is for information of all concerned that in pursuance of the decision of the Academic council held on dt-9.4.2010, the Vice-Chancellor has been pleased to approve the Regulation for M.Phil Degree Examination and the Regulation for Doctor of Philosophy in Arts, Science, Education, Commerce, Management, Engineering & Technology, Law and Medicine Examination (Based on UGC minimum standard and procedure for awards of M.Phil /Ph.D Degree Regulation 2009 as notified in the gazette of India dated 11.7.2009 and subsequent circulars of UGC) for implementation. The Regulation for M.Phil Degree Examination shall be given effect from 1st January, 2011 and the Regulation for Doctor of Philosophy in Arts, Science, Education, Commerce, Management, Engineering & Technology, Law and Medicine Examination shall be given with immediate effect.

sd/-
Registrar

Memo No 9918/Acd-I

dated 04 DECEMBER 2010

Copy along with copies of the Regulation for M.Phil Degree Examination and Regulation for Doctor of Philosophy in Arts, Science, Education, Commerce, Management, Engineering & Technology, Law and Medicine Examination forwarded for information and necessary action to :-

1. The Commission Cum Secretary to the Hon'ble Chancellor, Sambalpur University Raj Bhawan, Bhubaneswar.
2. The Commission Cum Secretary to Government of Orissa, Department of Higher Education, Bhubaneswar.
3. The Secretary University Grant Commission Bahadur Shah Zafar Marg, New Delhi 110002.
4. The Registrar of all the Universities in Orissa.
5. Directors, Institute of Physics & Life Sciences Chandrasekharpur Bhubaneswar.


sd/-
Registrar**SUPERSEDED**00-260-7610/Acd-I
27-8-2011
Contd.
1Bem. -
dt 10/12/10

Dated 04 DECEMBER 2010

Memo No 9919/Acd-I

Copy along with copies of the Regulation for M.Phil Degree Examination and Regulation for Doctor of Philosophy in Arts, Science, Education, Commerce, Management, Engineering & Technology, Law and Medicine Examination forwarded for information and necessary action to :-

1. The Chairman, Post Graduate Council, Sambalpur University.
2. All the H.O.D./Co-ordinator P.G. Department of Sambalpur University.
3. The Principal, V.S.S. Medical College, Burla.
4. The Principal, G.M.College, Sambalpur.
5. The Principal, Dr.P.M. Institute of advanced studies in Education Sambalpur.
6. The Principal Govt. Women's College Sambalpur .
7. The Principal Govt.College Rourkela, District Sundergarh.
8. The Principal Govt.College Sundergarh.
9. The Principal, Panchayat College Bargarh.
10. The Principal Rajendra College Bolangir .
11. The Principal Govt. College ,Bhawanipatna
12. The Controller of Examination, Sambalpur University.
13. The teacher –in-charge Research Cell P.G.Central Office Sambalpur University.
14. The Assistant Registrar (Examinations) Sambalpur University.
15. P.A. to the Vice-Chancellor Sambalpur University.
16. Section Officer, EG-III/Ec-III/P.G.Central Office Sambalpur University.
17. 15 spare copies to Academic – I Section.


Registrar 9.12.2010

w-e-f. 4.12.2010

SAMBALPUR UNIVERSITY

REGULATION FOR DOCTOR OF PHILOSOPHY IN ARTS, SCIENCE, EDUCATION,
COMMERCE, MANAGEMENT, ENGINEERING & TECHNOLOGY, LAW AND MEDICINE

(Effective from the date of approval by the Authority)

1. Eligibility:

A Master degree holder in Arts, Science, Education, Commerce, Management, Engineering, Law or Medicine with at least Second Class or equivalent grade of Sambalpur University or any other University recognized as equivalent there to by the Sambalpur University may register himself / herself as a candidate for the Ph.D. degree, provided that no candidate shall be allowed to submit his/her Ph .D. thesis at any time before two years from the date of passing the examination for the Master's degree.

2. Entrance Test:

- a. The P.G. Departments /Schools / Autonomous /Affiliated Colleges offering M.Phil programme with the approval of the authority shall consider admitting Ph.D. students through an Entrance Test. Provided that the Syndicate may allow a non-M.Phil. Department to admit Ph.D. students through an entrance test based on the available infrastructure and qualified teachers to act as supervisors. A candidate securing a minimum of 50% marks in entrance test shall be declared qualified in the Entrance test.
- b. Each such institution shall decide on annual basis a predetermined and manageable number of Ph.D. students depending upon the number of available eligible Faculty Supervisors. The number of seats for Ph.D programme shall be decided well in advance and notified in the University website or through advertisement.
- c. Following categories of candidates are exempted from appearing the entrance test.
 - i. Candidates who have qualified in UGC / CSIR (JRF) Examinations
 - ii. Candidates who have qualified in GATE Examinations
 - iii. Teachers who have a minimum of five years of teaching experience in the college /university level
 - iv. Those who have a minimum of five years of professional experience
 - v. A candidate who has successfully passed the First semester course of M.Phil programme under revised regulation effective from 2011 with a minimum of 6.5 OGPA (60%) and willing to join the Ph.D. programme shall also be exempted from appearing the entrance test.

3. Selection and Admission:

a. The number of candidates to be short listed, which includes the qualified candidates from the Entrance Test and candidates exempted from appearing the entrance test shall be at least five times of the number of seats available in the Ph.D. programme. However, when the total number of applicants is less than 5 times, the number may be limited to total number of applicants only.

b. The final selection out of such short-listed candidates shall be based on career (80%) and Viva-Voce (20%).

4. Reservations: Reservation of seats for under Scheduled Caste and Scheduled Tribe Category shall be made as per the norms of the Government received from time to time

5. Registration: The date of admission to the Ph.D. programme after qualifying in the course work shall be the date of registration of a candidate. The Registration shall automatically lapse after a period of five years from the date of registration. However, on the recommendation of the Supervisor and Head of the Institution, the time may be extended by one more year on payment of prescribed fee.

6. Supervision for dissertation:

a. The allocation of supervisor for a student admitted to the Ph.D. programme shall be decided by the Teachers' Council of the Department/School/Institution/Nodal Centre or, by a Committee in case of a college to be nominated by the Principal, on the basis of the number of students per faculty member, the available specialization among the faculty supervisors, the research interest of the student as indicated during interview (viva-voce) by the student and the performance/merit of the student in the entrance test. The allocation of supervisor shall not be left to the individual student or teacher.

b. Every candidate shall ordinarily be required to work under the supervision of a recognized Research Supervisor who may be a Professor or Reader of the University or a constituent /autonomous College of the University or a college affiliated to the university teaching up to the Masters degree in the subject. At any given time a Professor can supervise maximum of 8 candidates and a Reader of 6. Provided that the Syndicate may permit a Lecturer of the University or a college affiliated to the University or a distinguished scholar to be recognized specifically for the purpose by the Syndicate to supervise a candidate for Ph.D. degree on the condition that the candidate works in a recognized institution. Such supervisors shall be eligible to guide maximum of four Ph.D. students at any given time. Ordinarily a research supervisor should have a Ph.D. Degree in the subject concerned or in related fields.

c. In the case of inter-disciplinary research, the Syndicate may allow a candidate to work under joint supervision and in such case the two supervisors shall be from two different disciplines. Further, in such a case the registration shall be made either in the subject of the candidate or of the supervisor on recommendation of the main guide. In case of joint supervision each candidate shall be counted as 0.5 for fixing the limit of the number of candidates as per 6. b

- d. In exceptional cases, the Syndicate may allow joint supervision even in the same discipline with supervisors from two different universities/ institutions.
- e. **Doctoral Advisory Committee (DAC).** "There shall be a Doctoral Advisory Committee consisting of three members in respect of each candidate registered for Ph.D degree. The Supervisor shall be the ex-officio member of the committee. The two other teacher-members shall be nominated by the Vice-Chancellor from out-side the Department/College belonging to allied disciplines on the recommendation of the Teachers' Council of the Nodal Centre /Committee of the College. In case of joint Guidance, the Co-Supervisor may be co-opted as a member.

The Doctoral Advisory Committee shall meet at least twice a year to

- i. monitor the research progress of the Student
- ii. recommend extension of tenure of regular research Scholars enjoying a Fellowship/Scholarship.
- iii. recommend the list of examiners to the Board of Studies for evaluation of Ph.D thesis
- iv. recommend submission of thesis
- v. Attend the Open Viva- Voce Examination after the thesis is approved by the examiners.

The Committee may recommend cancellation of Ph.D registration and termination from Fellowship in case the research progress for three consecutive terms is found unsatisfactory.

7. a. **Course Work:** A student admitted to the Ph.D programme shall undertake a course work of one semester comprising 20 CH with the following course structure which shall be similar to first semester course of M.Phil programme under revised regulation.

First semester: (January to June)	-20 CH
Course - 611 (Theory compulsory)	- 4 CH (40-48 hours/ Semester)
Course - 612 (Theory Elective)	- 4 CH (40-48 hours/ Semester)
Course - 613 Research methodology (Quantitative Analysis and Computer Application)	- 4 CH (40-48 hours/ Semester)
Course - 614 Practical and/or Field Study or Essay	- 4 CH

In such subjects where teaching requires practical training and all science subjects including Anthropology and Sociology, Geography, Psychology, Home Science, one course shall be a practical course. Where there is no such practical, a course shall be Field Work or an Essay course.

Course - 615 Review of research papers published in referred journals	-4CH
Review Report	-2 CH
Seminar	-2 CH

The Course 613. Research Methodology (Quantitative Analysis and Computer Application) shall have two types of course contents, one for all science students and other for all non-science students.

- 7.b "At the option of a candidate, the Teachers' Council of the Nodal Centre/or College Committee on the recommendation of Doctoral Advisory Committee of the candidate may allow a candidate to take an elective course in one of the allied disciplines outside the Department in lieu of the Compulsory theory paper 611. The grade awarded to such candidate in the elective paper offered by other Departments shall be reflected in the grade sheet against "611 Extra-departmental Elective Course" in lieu of the Course 611(Theory Compulsory)"

8. Teaching:

- i. The credit of a course shall be based on teaching hours and content of the course. Thus teaching shall be imparted at the rate of 1 hour per week per credit of each theory course. Further there shall be one unit of course material in the syllabus per credit of theory course.
 - ii. For practical courses there shall be a 3 hour class per credit per week and there shall be one unit of course material in the syllabus per credit of course.
 - iii. For the essay unit there shall be at least one group discussion per week spreading over 100 minutes with at least one teacher as the convener of the discussion.
 - iv. For field work, the candidates shall undertake field study under a supervisor and submit the Field study Report.
 - v. Each candidate shall undertake the review of research paper under a recognized teacher of the Department /College.
9. **Attendance:** A candidate shall have to attend 75% of the classes, and in exceptional cases, the Syndicate may condone the deficiency of attendance on the recommendations of the H.O.D. to the extent of 15% on grounds participation in Sports and Games as university delegate or advance academic training. This shall be supported by documentary evidence.

10. Examination:

- a) There shall be two internal assessments for each theory course during the semester carrying 40% of weight of each course.
- b) There shall be a final examination in each course, at the end of the semester carrying 60% of weight. The duration of an examination for every theory course shall be three hours and that for the practical examination shall be four-six hours. The question papers (theory) shall be set by an External Examiner and shall carry eight questions with two alternate questions from each unit, out of which the candidate shall answer four. The answer shall be evaluated by an External Examiner. The practical/field

study examination (covering conducting experiment, field studies, records etc. and viva) shall be conducted by an external and an internal examiner. The date and time of such test shall be fixed by the H.O.D. The seminars will be evaluated by not less than two teachers of the Department.

The performance of the candidate both at the internal assessment and the final examination shall be taken in to account for award of grade point. In case of essay course, a candidate may be asked to write at least two critical essays with 50% choice in question with in a maximum of three hours which shall be evaluated by an external examiner. There will be at least 10 seminar hours per credit of seminar course where the students will be asked to present seminars in turn. The topics of the presentation will be finalized in consultation with a teacher designated by the Teachers Council or the committee. Both presentation and participation by the students will be evaluated. A copy of the seminar paper will be submitted to H.O.D.

11. Awards of Grade Point, Grade Point Average and Overall Grade Point Average:

The grade awarded to a student in any particular Course shall be based on his/her performance in all the test conducted in a semester for the course and shall be awarded at the end of the semester. The percentage of marks secured by the student in a particular course shall be converted to a grade and grade point for that course in the manner specified in the following table.

% of marks	Grade	Grade Point	% of marks	Grade	Grade Point
≥ 90	E	10	$\geq 50 - < 60$	B	6
$\geq 80 - < 90$	A+	9	$\geq 40 - < 50$	C+	5
$\geq 70 - < 80$	A	8	< 40	F	0
$\geq 60 - < 70$	B+	7			

- Calculation of Grade Point Average (GPA): GPA in each semester will be calculated as per the following procedure. $GPA = \frac{\text{Summation of (GP in a course} \times \text{CH in that course)}}{\text{Total Credit hours in a semester}}$. (Example: If a candidate secures 5, 6, 7 and 8 grade points in course I (3 CH), II (4 CH), III (4 CH) and IV (8 CH) respectively in a semester, the GPA shall be $(5 \times 3) + (6 \times 4) + (7 \times 4) + (8 \times 8) / 19$ (total CH) = 6.895 (rounded up to 3 decimal places)
- Calculation of Over all Grade Point Average (OGPA): As this is a one semester course, OGPA shall be same as GPA

12. Criteria for passing:

In order to pass a course a candidate has to secure a minimum of C+ (GP=5) in each theory, practical and other credit components separately. In order to qualify in the course work to pursue the Ph.D. programme a candidate must pass each credit course and must secure a minimum GPA of 6.5.

13. Repeat and Improvement Examination:

A failed candidate may repeat those theory courses where he/she has failed within four semesters from his/her first admission to Ph.D. programme. A passed candidate may improve his/her performance in maximum two theory courses within four semesters from his/her first admission to Ph.D. programme

14. Autonomous P.G. Departments/Colleges:

The Examinations in all autonomous P .G. Departments/Colleges, which are governed by their own set of regulations, shall conform to the provisions of awarding grades and classification of result as stipulated in this regulation.

15. Registration for Ph.D. degree:

- a. Every candidate, who has secured at least 6.5 GPA in the Course work (Mark statement to be issued as per Annexure I) or who has passed the First Semester M.Phil programme under the revised regulation with a minimum of 6.5 GPA and intends to pursue for a Ph.D. degree in Arts, Science, Education, Commerce, Management, Engineering and Technology, Law or Medicine shall be required to register for the examination at least one and half years before the submission of the thesis. The applications for registration shall inter-alia contain the following information:
 - i. The special subject on which the candidate proposes to submit the thesis
 - ii. The name, position and qualification of the supervisor
 - iii. The endorsement by the supervisor who agrees to supervise the candidate's work and
 - iv. Other information in the prescribed form (Annexure - II)
 - v. In addition the candidate shall submit an extended synopsis of the proposed thesis containing the state of Arts, Objectives, Methodology, References etc. with the application which will be placed in the designated Teachers' Council of the post graduate department /Nodal Centre or Committee (in case of colleges) (Annexure - III) for evaluation and recommendation to the Research Degree Committee (RDC) for finally recommending these cases to the Syndicate.
- b. The research degree committee shall comprise of the following member of the Syndicate (i) Chairman, P.G. council (ii) Principal, G.M. College, Sambalpur (iii) Principal, V.S.S. Medical college, Burla, all Ex Officio Members. The RDC will meet at least once in every three months.
- c. The registration shall be done either in the subject of the candidate or of the supervisor on the recommendation of the supervisor.
- d. The registrations shall be valid for an initial period of 5 years, extendable by one year in exceptional cases with an extension fee.

16. Submission of thesis:

- a. At any time after the lapse of one and half years from the date of registration for Ph.D degree, the candidate may submit a draft thesis and make a pre-Ph.D. presentation in the Department /Nodal Centre/College before the faculty and research students for getting feed back and comments, which may be suitably incorporated in to the final thesis under the advice of the supervisor. The Head of the Department /Principal shall organize and coordinate the presentation on the request of the student and recommendation of the Supervisor.
- b. The candidate shall submit four copies and a soft copy of the final thesis to the University along with 10 copies of the Abstract of the thesis to the University. Along with the copies of the thesis the candidate shall submit the reprint of one research paper in the subject matter of his/her thesis published in a referred journal after registration to Ph.D programme or produce evidence for the same in the form of acceptance letter.
- c. A thesis for the Ph.D. degree shall
 - i. be composed of the subject matter stated in the candidate's application and
 - ii. embody the results of research, and show evidence of the candidate's own work whether based on the discovery of new facts which the candidate has observed or a new relations of facts observed by others, whether constituting an exhaustive study and criticism of the published manuscripts, records or documents accompanied by adequate introduction and critical appreciation, or other wise making a valuable contribution to the literature, on the subject dealt with or attending generally to the advancement of knowledge.

17. Appointment of Examiners:

- a. The Ph.D. thesis submitted by the candidate shall be referred by the Syndicate to a Board of three examiners, based on the suggestion of the Supervisor and recommendation of the respective Board of Studies, out of which, two shall be from outside the state of Orissa and other examiner shall be the supervisor concerned, provided that the requirement of examiners from outside the state need not be insisted upon in Oriya language / Oriya literature / regional literature / comparative literature. However, the respective Board of Study may consider the suggestion of the Supervisor for evaluation of the thesis by one foreign examiner in addition to one Indian examiner and the supervisor.
- b. The Doctoral Advisory Committee shall submit a panel of names of at least 10 examiners from outside the state (not more than two examiners should belong to the same University/Institute), and another five names from foreign countries if recommended for, to the Board of Studies. The Syndicate shall select two examiners from the list recommended by the Board of Studies. The third examiner shall be the Supervisor who shall be the chairperson of the Board of Examiners.
- c. Each examiner shall be provided with the extracts of this regulation i.e. clause 18, 19 and 20 along with the formats and thesis.

18. Thesis Evaluation:

- a. Each thesis examiner shall communicate separately to the Controller of examination his report on the thesis referred to him in a prescribed form (Annexure IV)
- b. If the Ph.D. thesis is approved unanimously by all the examiners and the Syndicate approves the report, the candidate shall be required to appear for an oral examination with reference to the thesis and the special subject which he / she has offered.
- c. Where two thesis examiners recommend the award of the Ph.D. degree and the third examiner does not recommend, the thesis be referred to a fourth examiner, whose report shall be final. The fourth examiner shall be provided with the report of other examiners.
- d. If the thesis is unsatisfactory and at least two examiners recommend that it should be resubmitted after making improvement suggested by them, the Syndicate may allow the candidate to resubmit the thesis within a period of 2 years but not earlier than six months..
- e. In case of Examiner(s) unanimously approving the thesis for the award but one or more have sought compliance to the queries raised by them for consideration by the Board of Examiners of Oral Examination, the candidate shall prepare the compliance separately and submit it to the Supervisor for endorsement to the Controller of Examinations. One copy of the compliance report signed by the candidate as well as the supervisor shall be retained by the supervisor who shall ensure to annex it with a copy of the original thesis retained by the Student. The Controller Examination shall, with the approval of the Vice-Chancellor, forward the 4th copy of the thesis along with the compliance report submitted by the examiner and the reports of the examiners on the thesis to the Chairman of the Board to place it in the Board for consideration. After the oral Examination, all the examiners shall sign in the last page of the annexed copy of the compliance report and the Chairman shall submit the report of Board of Examiners of Oral Examination, the thesis of the student with annexed compliance report signed by the student and examiners and a revised soft copy of the thesis containing the compliance to the Controller of Examiners for consideration of the authority.

9. Oral Examination

- a. The Oral examination shall be conducted by a Board of Examiners consisting of all the three examiners of the thesis concerned. However, two examiners (one of them being the Supervisor, who will be the chairman of the Board) shall constitute the quorum of the Board for the oral examinations. This examination will be an open viva notification by the Head of the P.G. Department Nodal Centre with proper examiners is not available within a reasonable time, the Vice-chancellor may appoint an examiner from the panel recommended by the Board of Studies in his/her place.

The chairman (the supervisor) shall be provided with reports of the examiners before Oral Examination for consideration by the Board.

- b. The Chairman of the Board of Examiners shall report to the Controller of Examinations, the result of the oral examination in a prescribed form (Annexure - V)

20. Publication of Results

- a. If the recommendation of the examiners are unanimous to award the Ph.D. degree to the candidate and the Syndicate, after considering the report of the Board are satisfied that the candidate is worthy of the degree of Ph.D. they shall cause his/her name to be published with the subject of the thesis and name of the supervisor (s).
- b. If the thesis is approved but the performance of the candidate at the oral test is not satisfactory, the candidate may be allowed to take the oral examination after a period of three months again.

21. **Provisional certificate:** Within one month of publication of results of Ph.D. degree, the provisional certificate shall be issued with the remark that the Ph.D. degree has been awarded in accordance with the provision of UGC regulation 2009 (Annexure VI)

22. **Submission of Electronic version to a digital repository:** Each candidate shall be required to provide for submission of electronic version of thesis to a digital repository at the INFLIBNET Centre, Ahmedabad, an Inter University Centre of the UGC. The Controller of Examinations will submit the electronic version of the thesis in the iETD Repository as described at <http://ietd.inflibnet.ac.in/moredetails/howto.html> within one month of publication of results of Ph.D. degree.

23. **Award of Diploma:** A diploma in the prescribed form under the seal of the university and signed by the Vice Chancellor shall be issued to each successful candidate at the next convocation to be held for conferring degree. (Annexure - VII).

24. Transition:

- a. All applications received from the candidates for Ph.D. registration before the date of implementation of the present regulation shall be governed in accordance with the old regulation. However, such candidates may submit a written request for consideration of their registration under the new regulation if they so like provided he/she has not submitted his/her thesis. This provision shall remain effective for only one year from the date of approval of this regulation.
- b. The candidates who have already been awarded the Ph.D. degree after June 2009 from Sambalpur University or who have already submitted their theses to the University as per old regulation may be allowed to complete the one semester course as per the UGC stipulation within one year of the implementation of the present regulation. In such cases the candidates are to submit a written request and the procedure for selection and admission to course work shall be as per clause 2 and 3 above and the qualifying marks to pursue Ph.D. program, as per clause 12 shall make him/her eligible for successful completion of the course. In the event of the award of degree, such candidates will be issued a diploma as per annexure VII.

c. As clarified by UGC, vide letter F.No.1-1/2002(PS) Pt .File .III Dt. August 2009, where the admission at the M.Phil has been through an entrance examination and course work has been prescribed at the M.Phil level, such M.Phil candidates when admitted to the Ph.D programme shall not be required to undertake entrance examination or course work and it shall be considered to have complied with the UGC Regulation 2009 subject to specific compliance of other requirements of the said regulation.

d. In other cases, where a candidate has done M.Phil from other university with Course work and moves to Sambalpur University for Ph.D, he/she will get the credit and shall be exempted from the Course work. However, such a candidate will have to appear in the entrance test as applicable to a fresh candidate directly joining Ph.D programme.

SAMBALPUR (EMBLEM) UNIVERSITY
First Semester (Course Work)
Under Ph.D. Programme
TRANSCRIPT

Name of the Candidate

Year and Month of Examination

University Roll No.

University Registration No.

Subject and Specialization:

Grade Point and Grade Point Average secured by the candidate in First semester (Course work) examinations are as follows

Examination	Course no.	Title of the course	Credit hours	Grade point
First semester	Course- 611			
	Course – 612			
	Course – 613			
	Course – 614			
	Course - 615			

Over All Grade point Average:

Conversion of GPA to % = $(\text{GPA} - 0.5) \times 10 =$

Award of Grade

In order to pass a course a candidate has to secure a minimum of C (GP-5) in each theory ,practical and other credit component. In order to pass the semester, a candidate must pass each credit course of the semester and must secure a minimum of GPA of 5.5.

A candidate securing an OGPA of less than 5.5 shall be declared as Fail

Controller of Examination
Date

**SAMBALPUR UNIVERSITY
JYOTI VIHAR, BURLA**

APPLICATION FOR REGISTRATION FOR Ph.D. DEGREE

Price-Rs-200/- (Two Hundred Only)

No. _____

1	Name of the Candidate (In Block Letters)	
2	Occupation (In case of Teacher Fellow, JRF or SRF etc. an attested copy of the appointment order and Joining report accepted by the employer along with the original for verification be submitted)	
3	Qualification (Please indicate the percentage of marks obtained in Master's Degree examination. The Master's Degree Diploma in original along with an attested copy be submitted).	
4	Present Address : with Cell No. and email.	
5	GPA secured in one semester Course work (attested photo copy of transcript be submitted)	
6	Title of the thesis in BLOCK CAPITAL in English	
7	Script in which the thesis will be written	
8	Whether 3 copies of synopsis of the proposed thesis of 10-15 pages signed by both the supervisor and the candidate is enclosed	YES / NO
9	Name, Position held and address of the Supervisor(s)	
10	Institution where the work will be carried out	

11	Total number of candidates already registered for doing research under the supervisor in Sambalpur Univ and outside	
	a.already registered	Single -- Joint -----
	b. applications submitted	Single -- Joint -----
12	Whether Bio-data of the supervisor submitted (in case the guide has not been recognized by the University earlier).	YES NO
13	In case of joint guidance ,whether justification for joint guidance submitted	YES NO
14	Endorsement by the Supervisor.	I am willing to supervise the Ph.D work of the candidate in the topic mentioned. The information provided in 9-12 are true. Signature of the supervisor(s) and date
15	Remarks of the Head of the Department/Institution where the work is proposed to be carried out	Necessary facilities will be provided to the candidate for taking Research work. The institution has facilities to carry out Research work on the subject indicated in the application Head of the Institute/Department (Signature, date and Seal)
16	Whether No objection certificate, issued by the Head of the Institution where the Candidate is presently serving / employed is submitted	YES NO
17	Undertaking- I hereby undertake that I have not applied for or registered my name for Ph. D./D. Litt/ D. Sc, Degree etc.in any other University prior to the date of submission of this application and shall not register in any other university till my registration at Sambalpur University stands valid	
18	Paid Rs. 5000/- (five thousand only) towards registration fee in the shape of Bank Draft (State Bank of India, Jyoti Vihar or UCO Bank, Katapali Branch payable to Comptroller of Finance of Sambalpur University). BDNo.....dt or University Cash Receipt No. Dt	

Date

Signature of the candidate

Note: The students migrating from other Universities shall apply for registration in the following format (II B)

first as a student of the University before allowed to register for Ph.D degree

If already registered as a student of Sambalpur university, the original Registration Receipt be attached with the application.

SAMBALPUR UNIVERSITY

JYOTI VIHAR, BURLA

Application for registration as a student of Sambalpur University for students migrating from other universities

(to be submitted together with a fee of Rs. ----- only)

1	Name (In Block Letters)	
2	Name of the Father or Guardian	
3	Date of Birth in the Christian era	
4	Religion	
5	Language i.e. Mother tongue	
6	Where educated (Name and address of High School and Colleges)	
7	Examination: Matriculation/H.S.C. equivalent and year of passing with Roll Number (Original Certificate should be submitted)	
8	Any examination passed / failed under this University (Name of examination Centre and year with Roll Number)	
9	Any examination passed from another University (Attested copies of examination Certificates be attached)	
10	a. Whether he/she had studentship in any of the affiliated colleges under this University on	
	b. In case of discontinuance of study in the affiliated college, the date of which the C.L.C. was issued to him should be stated.	
	c. Name of the College / P.G. Department where passed Master Degree Examination.	
11	Applicant's present designation	
12	Address to which communication will be sent.	

Date :

Signature in full of the Applicant

Note : The applicant should enclose the following documents to this application otherwise the application will summarily be rejected and no correspondence will be made with him in this connection.

1. Matriculation or equivalent certificate in original (attested copies not acceptable)
2. Receipt for payment for fees (Bank draft of United Commercial Bank or State Bank of India payable to Comptroller of Finance, Sambalpur University, Jyoti Vihar, Burla)
3. Migration certificate (if the applicant was a student of any other University or Board prior to submission of this application.)
4. Information furnished above should be supported with records.

Annexure-III

LIST OF DESIGNATED POST GRADUATE DEPARTMENT AND SUBJECTS

<u>Name of Nodal Centres</u>	<u>Subject</u>
1. P.G.Deptt. of Anthropology	Anthropology
2. P.G.Deptt. of Sociology	Sociology
3. P.G.Deptt. of Home Science	Home Science, Food Science and Technology
4. P.G.Deptt. of Physics	Physics
5. P.G.Deptt. of Mathematics	Mathematics
6. P.G.Deptt. of Statistics	Statistics
7. P.G.Deptt. of Chemistry	Chemistry
8. P.G.Deptt. of Environ. Science	Env. Science & Env. Engg
9. School of Life Science	Botany, Zoology, Life Sciences, Bio-Technology
10. P.G. Deptt. of Law	Law
11. P.G.Deptt. of Lib. and Info. Sc	Lib. and Info. Sc.
12. SUIT	Computer Sc., Information Technology, Bioinformatics, Electronics and all Engineering
13. P.G.Deptt.of Oriya	Oriya, Hindi
14. P.G. Deptt. of English	English, Linguistics
15. P.G.Deptt. of Economics	Economics
16. P.G.Deptt. of History	History
17. P.G.Deptt. of Pol. Sc.	Political Sciences
18. P.G. Deptt. Of Earth Science	Geology and Earth Sciences
19. P.G. Deptt. of Business Admn.	Management.
20. V.S.S. Medical college, Burla	Medicine, Ayurveda, Homeopathy, Pharmacy
21. G.M. College, Sambalpur	Philosophy, Psychology, Geography, Sanskrit ,Commerce
22. Dr. P.M.I.A.S.E. Sambalpur	Education

SAMBALPUR UNIVERSITY: JYOTI VIHAR, BURLA
SAMBALPUR- 768019 ORISSA, INDIA

Report of the Thesis for the degree of Doctor of Philosophy in Arts, Science,
Education, Commerce, Management, Engineering and Technology, Law and
Medicine Examinations

Part – A (To be filed up by the office)

Name of the Candidate:

Name of the subject and faculty

Subject:

Faculty:

Title of the Thesis:

Part – B (To be used by the Examiner)

Report (detailed report be submitted in separate sheet)

a. do you recommend that the thesis be accepted for the award of the degree?
(Please mention recommended or not recommended)

b. If recommended, do you suggest that the queries/ suggestions, if any pointed out
by you in your report shall be met by the candidate and submitted before the viva
voce board to their satisfaction, (please mention- Yes/ No).

c. If not recommended do you suggest that the thesis be resubmitted after necessary
revision (Please mention- Yes or No).

If yes, kindly suggest the necessary modifications required to be done by the
candidate in the report. (In such case the revised thesis will be sent to the concerned
examiners for further evaluation).

Place:

Date:

Signature of the Examiner

Report of the Board of Examiners on the viva voce of the Candidate for the degree of Doctor of philosophy in Arts, Science, Education, Commerce, Management, Engineering, Law and Medicine examinations

Part – A (To be filled up by the office)

Name of the candidate:

Name of the subject and faculty

Subject:

Faculty:

Title of the thesis:

Part-B (To be used by the Board of Examiners)

Report (if space is not sufficient, separate sheet may be used):

On the basis of the performance of the candidate in the viva voce and considering the reports of the thesis examiners we recommend/ do not recommend the award of the degree of doctor of philosophy to (Name of the candidate).

We certify that

We have examined the reports of the thesis examiners and the queries / mistakes / suggestions reported by the examiners have been / have not been complied with by the candidate to our satisfaction.

Signature (s)

Name(s) of the examiner (s) and date:

SAMBALPUR (EMBLEM) UNIVERSITY
DOCTOR OF PHILOSOPHY
PROVISIONAL CERTIFICATE

This is to certify that
bearing roll no and registration no..... passed the

Examination for the degree in Doctor of Philosophy

in.....
held in the month of 20 ..

This Ph.D. degree conforms to the provisions of UGC regulation 2009.

Date of Publication of Result:

Controller of Examinations
date

SAMBALPUR (EMBLEM) UNIVERSITY
DOCTOR OF PHILOSOPHY

Annexure - VII

This is to certify that

.....

having passed the examination for the degree of

Doctor of Philosophy

in

held in the month of 20.....

was this day admitted to the degree

in conformity with the provisions of UGC regulation 2009

Sambalpur University

Jyoti Vihar, Burla

The

Vice Chancellor

5935 /Ad. I dated. 16-7-09

The Controller of Examinations

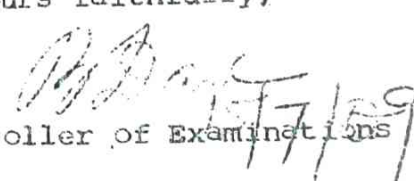
The Chairman,
P.G. Council, Sambalpur University,
Jyoti Vihar.

Sub : Forwarding of correction slip No. 58/2009 regarding amendment of the Regulation for post doctoral degrees in Arts, Management and Humanities including Social Sciences (D.Litt) Science and Engineering (D.Sc.) and Law (LL.D.).

Sir,

I am directed to forward herewith the correction slip No. 58/2009 regarding amendment of the Regulation for post doctoral degrees in Arts, Management and Humanities including Social Sciences (D.Litt) Science and Engineering (D.Sc.) and Law (LL.D.) for your kind information and necessary action.

Yours faithfully,


Controller of Examinations

CORRECTION SLIP NO. 58/2009

That the clause '3' of the Regulation for post doctoral degrees in Arts, Management and Humanities including Social Sciences (D.Litt) Science and Engineering (D.Sc.) and Law (LL.D.) be deleted and replaced by the followings."

"The Synopsis alongwith the application submitted by a candidate to be placed in the designated Teachers Council (Appendix - IV as per Ph.D. Regulation) for evaluation and recommendation to the Research Degree Committee (RDC) for finally recommending to the Syndicate. In case of D.Sc. in Engineering Faculty, U.C.E., Burla be considered as the Nodal Centre and to act as Teachers Council for the purpose to evaluate the Synopsis for D.Sc. programme in different branches of Engineering, (Principal, U.C.E., Burla be requested to constitute an expert committee in each branch/subject comprising of three/four teachers to function as the Teachers Council for the purpose). If necessary the Research Degree Committee can send a

contd.

-2-

proposal only for reconsideration by the Academic Council citing reasons therefor."

Further in clause 5.2, the following be added after the words, " from outside the State of Orissa."

" Not more than two examiners should belong to same University/Institution."

More over, In clause 8.3, the following be added after the words " shall be the Chairman of the Board."
"If any of the examiner is not available within a reasonable time the Vice-Chancellor may appoint substitute examiner(s) from the Panel recommended by the Board of Studies."

In the same clause, the following also be added after the sentence, " the presense of any two the Viva."

" The Viva examination will be an open one held at the designated Nodal Centre with proper notification by the H.O.D., Nodal Centre."

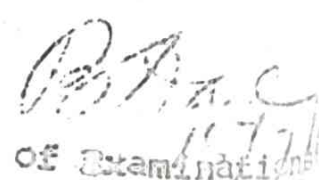
(Approved by the Academic Council dt. 9.5.2009)

Memo No. 6036 /Acad. I

dated. 16-7-09

Copy forwarded for information and necessary action to :-

1. All the Heads of the P.G. Department of Sambalpur University.
2. The Principal, G.M. College, Sambalpur/V.S.S. Medical College, Burla/U.C.E., Burla/Dr. P.M. Institute of Advance Study in Education, Sambalpur/Govt. College, Rourkela/Govt. College, Sundargarh/Womens College, Sambalpur/Panchayat College, Barga Govt. College Bhawanipatna/Rajendra College, Bolangir.
3. The Officer in Charge, Research Wing, P.G. Central Office, Sambalpur University.
4. The Asst. Registrar (Exam.)/Asst. Controller of Examination, Sambalpur University.
5. The Section Officer, E.G. III/E.C. III/P.G. Central Office Computer Unit, Sambalpur University.
6. The P.A. to the Controller of Examination, Sambalpur University.
7. 15 (Fifteen) spare copies to Acad. I Section.


Controller of Examination



POST GRADUATE COUNCIL

Sambalpur University

JYOTI VIHAR-768019, SAMBALPUR, ODISHA
Phone & Fax (Off.): (0663)2430776, e-mail ID: chairmanpgesu@gmail.com

Office Order No. 1180/PGCO(RC)

Date: 12/8/14

As per the request of the students, the Vice-Chancellor has been pleased to approve the revised fee structure of Ph.D. Programme of Sambalpur University as follows which will be effective from the date of the notification.

- | | |
|--|--------------------------|
| 1. Cost of Ph.D. Entrance Test Application Form including Entrance Test fee | 1,500/- |
| 2. Admission Fee (Both for Course Work & Exemption category) | |
| Humanities & Management | 600/- |
| Science | 700/- |
| 3. Course Work fee (only for course work candidate) | 5,000/- |
| 4. Infrastructure & Academic Development Fee (Annual) in the respective Nodal Centre | |
| Humanities and Management | 2,000/- |
| Science / Engineering | 3,000/- |
| 5. Seminar Fee (Annual) in the Nodal Centre | 1,000/- |
| 6. Ph.D. Registration Form | 500/- |
| 7. Ph.D. Registration Fee (one time) | 5,000/- |
| 8. Annual Registration Fee (per year, up to five years) | 1,000/- |
| 9. For extension of Regd. Fee beyond five years (1 year term, twice maximum) | 4,000/- |
| 10. Submission & Evaluation Fee | 15,000/- |
| 11. Fee for changes of title | 500/- |
| 12. Fee for Regd. No. (incase of Migration from other University) | As per University norms. |
| 13. Fee for Degree Certificate | 1,000/- |

Donandh
Chairman, P.G. Council

Memo No. 1181/PGCO(RC)

Date: 12/8/14

Copy forwarded for information and necessary action to:-

- (1) All Heads, P.G. Departments, Sambalpur University.
- (2) The Principal, Dr. PMIASE, Sambalpur.
- (3) The Principal, Govt. Ayurvedic College, Bolangir.
- (4) The Director, SUIIT, Sambalpur University.
- (5) The Principal, VSS Medical College, Burla.
- (6) Controller of Examinations / S.O., E.G.-III Section, Sambalpur University
- (7) P.A. to Vice-Chancellor and P.A. to Registrar, Sambalpur University.

Donandh
Chairman, P.G. Council

Proceedings of the meeting of the Committee constituted for finalization of structure of fees and processing charges for admission to Ph.D. Programme of Sambalpur University held on 02.07.2011 at 3:30 P.M.

Members Present:

- (1) Prof. S.K. Sahu
Department of Environmental Science
- (2) Controller of Examinations, Sambalpur University
- (3) Dr. J. Panigrahi, Coordinator, Research Cell
and nominee of Chairman, P.G. Council

The committee discussed various aspects of the expenditure incurred by the University towards award of Ph.D. degree per candidates of this University and proposed the following head wise expenditure.

Fee Structure for Ph.D. Admission:

(1)	Cost Ph.D. Entrance Test Application Form	-	Rs.500-00
(2)	Entrance Test Fees	-	Rs.1000-00
(3)	Admission Fee (Both for Course Work & exempted category)	-	Rs.600-00
	Humanities	-	Rs.700-00
	Science	-	Rs.5000-00
(4)	Course Work Fee (only for Course Work Candidate)		
(5)	Infrastructure & Academic Development Fee (Annual)		
	In the respective Nodal Centre		Rs.2000-00
	Humanities		Rs.3000-00
	Science / Engineering	-	Rs.1000-00
(6)	Seminar Fee (Annual) (in the Nodal Centre)	-	Rs.500-00
(7)	Ph.D. Registration Form	-	Rs.5000-00
(8)	Ph.D. Registration Fee (one time)	-	Rs.3000-00
(9)	Annual Registration Fee (per year, upto five years)	-	Rs.5000-00
(10)	For Extension of Regd. Fee beyond five years (1 year term, twice maximum)	-	Rs.15000-00
(11)	Submission & Evaluation Fee	-	Rs.500-00
(12)	Fees for change of title	-	As per
(13)	Fees for Regd. No. (In case of migration from other University)	-	University norms
(14)	Fee for Degree Certificate	-	Rs.2000-00


(S. Sahu)


(Controller of Exam.)
Sambalpur University


(J. Panigrahi)


Chairman,
P.G. Council

Approved

Vice-Chancellor



SAMBALPUR UNIVERSITY
JYOTI VIHAR: BURLA - 768 019

No. 9899 /Acad-I

Dated, 22.11.11

From: The Controller of Examinations,
Sambalpur University.

To
The Asst. Registrar (Examinations),
Sambalpur University.

Sub: Format & application Form for issue of certificate regarding fulfilment of U.G.C.
Ph.D. Regulation, 2009.

Sir,

I am directed to say that the Vice-Chancellor in exercise of his powers vested under Section 6(15) of the Orissa Universities Act, 1989 has been pleased to approve the modalities, Format and application form for issue of certificate regarding fulfilment of U.G.C. Ph.D. Regulation, 2009. The aforesaid certificate will be issued to the candidate.

- (i) Whose date of notification of result is after 1st June, 2009.
- (ii) The candidate has to apply to the Controller of Examinations, Sambalpur University in the attached Format with an application fee of Rs.100.00 (Rupees one hundred) only deposited either through Bank draft or Cash at the University Cash Counter.

Encl: As above.

Yours faithfully,

[Signature]
22.11.11

Controller of Examinations

Memo No. 9900CS /Acad-I

Dated, 22.11.11

Copy alongwith copies of the Format and Format of Application form forwarded for kind information and necessary action to:-

- 1) The Chairman, Post Graduate Council, Sambalpur University.
- 2) The H.O.D. of all the P.G. Departments of Sambalpur University.
- 3) The Section Officer, EG-IV Section for processing and issuing such certificate.
- 4) The Programmer, Computer Unit, Sambalpur University.
- 5) Five (05) spare copies to Acad-I Section.

[Signature]
22.11.11

Controller of Examinations

REGULATION, 2009
(For degree awarded after 1st June, 2009)

13/12/20

To

The Controller of Examinations,
Sambalpur University,
Jyoti Vihar, Burla.

(A)

1. Name:
2. Discipline:
3. Title of the Ph.D. Thesis:
4. Ph. D. Registration No:
5. University Registration No:
6. Date of Notification of Ph.D. result:

(B) DETAILS OF M.PHIL (To be certified by HOD of the concerned
Department where the candidate has done M.Phil)

1. Title of M.Phil
2. Discipline:
3. Date of Notification of M.Phil result:
4. Awarding University.
5. (i) Whether admitted through an entrance examination ?
(ii) Whether completed course work during M.Phil ?

(C) Application fee of Rs. Head of the Department
Submitted vide

Date:

Signature of the candidate

130
Annexure-8-5

TO WHOM IT MAY CONCERN

This is to certify that _____ who has
been awarded the Doctor of Philosophy in _____ under the
Sambalpur University has fulfilled the requirements of entrance test and Course
Work as stipulated in the U.G.C. Ph.D. Regulation, 2009.

Controller of Examinations